

RISK REGISTER LITE - QUARTER 'No.' - 'Financial Year'																			
Number	SERVICE / TEAM RISK DESCRIPTION (Description of 'event' & 'implication')	RISK OWNER	Likelihood					Impact					CURRENT RISK STATUS	PERIODS AGO			COMMENTARY ON ACTION PLAN (e.g. actions implemented /outstanding; implementation of actions to timescales)	ACTION PLAN LEAD OFFICER	
			1	2	3	4	5	1	2	3	4	5		1	2	3			
			Score					Score											
1	There is a risk caused by not implementing the Care and Support Charging and Financial Assessment Framework. Failure to not implement will risk continued inconsistency, inequality, complaints and possible referral to the Ombudsman and Judicial Review leading to reputational and financial burden	Lesley Hutchinson	1									5	Medium				Implement the Framework and ensure the public consultation is carried out robustly to enable implementation by 06.04.2020.	Lesley Hutchinson	
2	There is a risk caused by no or poor consultation resulting in an inability to implement the Framework and adversely affect the Councils reputation (including potential judicial review).	Lesley Hutchinson	2									5	Medium				Ensure robust and fully inclusive engagement.	Lesley Hutchinson	
3	There is a risk caused by implementing the Framework resulting in increased complaints as some service users will be adversely affected by the change in their care charge.	Lesley Hutchinson				4			2				High				Ensure robust and fully inclusive engagement. Ensure social work and advocacy staff are aware of the changes in advance and can prepare service users. Ensure services users are sign posted appropriately for correct benefits. Ensure Complaints Team are fully briefed.	Lesley Hutchinson	
4	Increased staff workload across multiple teams particularly (but not limited to) Control and Performance Team, social work team, Exec Office	Lesley Hutchinson					5		2				High				In order to carry out the consultation there will be increased staff time this will be mitigated by time of in lieu; managing the backfill may need to take place and is being discussed with Head of Service.	Lesley Hutchinson	